

COMPUTING RIEMANN–ROCH SPACES FOR ALGEBRAIC GEOMETRY CODES

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ACCESS Seminar – 7 June 2022

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- ① Introduction to Algebraic Geometry codes (motivation)
- ② Introduction to Riemann–Roch spaces
- ③ Computation of Riemann–Roch spaces : geometric algorithm
- ④ Conclusion and future questions

Linear codes: from Reed–Solomon codes...

Linear code: \mathbb{F}_q -vector sub space of \mathbb{F}_q^n

$[n, k, d]_q$ -code: code of length \mathbf{n} , dimension \mathbf{k} and minimum distance \mathbf{d}

$$\left. \begin{array}{l} \text{dimension} \leftrightarrow \text{information} \\ \text{minimum distance} \leftrightarrow \text{correction capacity} \end{array} \right\} k + d \leq n + 1 \quad \text{Singleton, 1964}$$

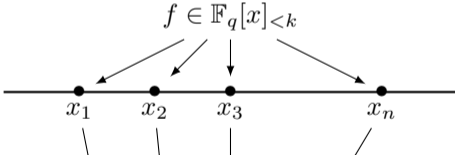
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Reed–Solomon (RS) Codes  Reed and Solomon, 1960

$$\text{RS}_k(\mathbf{x}) \stackrel{\text{def}}{=} \{(f(x_1), f(x_2), f(x_3), \dots, f(x_n)) \mid f \in \mathbb{F}_q[x]_{<k}\}$$


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$x_1 \quad x_2 \quad x_3 \quad x_n$

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✓ **Optimal parameters**

$$k + d = n + 1.$$

✓ **Effective decoding algorithms**

 Berlekamp, 1968.

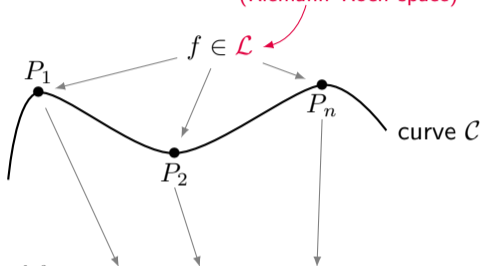
⚠ **Drawback:** $n \leq q$.

The more q is big,
the less the arithmetic is efficient.

...to Algebraic Geometry (AG) codes  Goppa, 1981

$$\mathcal{P} = (P_1, P_2, \dots, P_n)$$

Vector space of functions on the curve
(Riemann–Roch space)

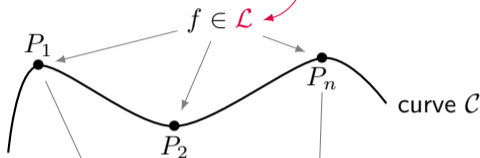


$$C_{\mathcal{C}}(\mathcal{L}, \mathcal{P}) \stackrel{\text{def}}{=} \{(f(P_1), f(P_2), \dots, f(P_n)) \mid f \in L\}$$

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Codes on a curve \mathcal{C}

- ✓ Good parameters
- ✓ Efficient decoding algorithms
- ✓ Length $> q$

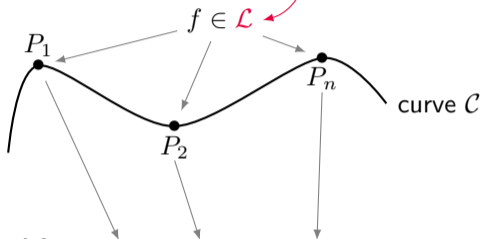
$$\#\mathcal{C}(\mathbb{F}_q) \leq q + 1 + g[2\sqrt{q}]$$

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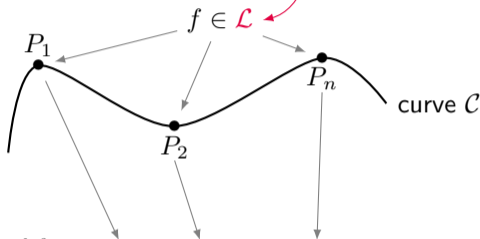
Proposition

The parameters $[n, k, d]$ of AG codes satisfy $n + 1 - g \leq k + d \leq n + 1$.

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Construction of **good AG codes** relies on $\left\{ \begin{array}{l} \text{identify algebraic curves suitable to the context,} \\ \text{design efficient algorithms for implementation.} \end{array} \right.$

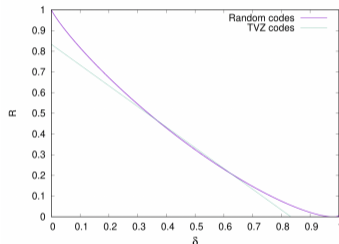
AG codes: long story short

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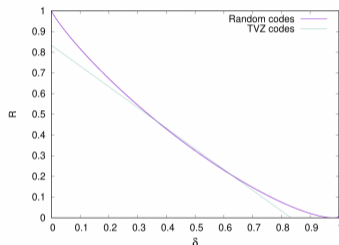
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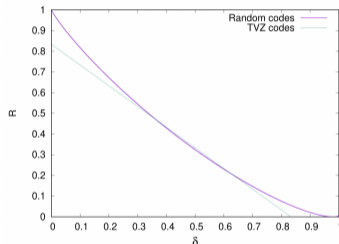
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↪ the most used curves are the ones for which Riemann–Roch spaces are already known (e.g. Hermitian curves)

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XXlc: AG codes are used in new applications in information theory...

Riemann–Roch spaces: AG codes and beyond

AG codes provide complexity gains in (not exhaustive list)

- Secret sharing¹

Example: can have up to 500 players over \mathbb{F}_{64} with AG codes from maximal curves, while need to work over a field with > 500 elements with RS codes

- Verifiable computing²

↪ computing large Riemann–Roch spaces of curves is necessary

¹R. Cramer, M. Rambaud and C. Xing, Crypto 2021

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Can be used also for...

- Arithmetic operations on Jacobians of curves³
- Symbolic integration⁴

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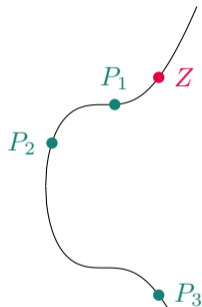
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³K. Khuri-Makdisi, Mathematics of Computations, 2007

⁴J.H. Davenport, Intern. Symp. on Symbolic et Algebraic Manipulation, 1979

Riemann–Roch spaces of curves

A **divisor** on a curve \mathcal{C} : $D = \sum_{P \in \mathcal{C}} n_P P$, $n_P \in \mathbb{Z}$



$$D = P_1 + P_2 + P_3 - Z$$

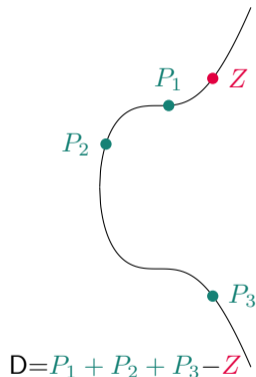
The **Riemann–Roch space** $L(D)$ is the space of functions $\frac{G}{H} \in \mathbb{K}(\mathcal{C})$ such that:

- if $n_P < 0$ then P **must be a zero** of G (of multiplicity $\geq -n_P$)
- if $n_P > 0$ then P **can be a zero** of H (of multiplicity $\leq n_P$)
- G/H has no **other poles** outside the points P with $n_P > 0$

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Riemann–Roch Theorem \rightsquigarrow dimension of $L(D) = \deg D + 1 - g$
where the **degree** of a divisor is $\deg D = \sum_P n_P \deg(P)$.

Toy example

Let $\mathcal{C} = \mathbb{P}^1$, $P = [0 : 1]$ and $Q = [1 : 1]$. Let $D = P - Q$, then

$$f \in L(D) \iff \begin{cases} f \text{ has a zero of order at least 1 at } Q, \\ f \text{ can have a pole of order at most 1 at } P, \\ f \text{ has not other poles outside } P. \end{cases}$$

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→ f generates the space of solutions.

⚠ no explicit method to compute a basis of $L(D)$!
How do we solve the problem **in general**?

Riemann–Roch problem: state of the art

Geometric Method:

(Brill–Noether theory~1874)

- Goppa, Le Brigand–Risler (80's)
- Huang–Ierardi (90's)
- Khuri–Makdisi (2007)
- Le Gluher–Spaenlehauer (2018)
- Abelard–Couvreur–Lecerf (2020)

Arithmetic Method:

(Ideals in function fields)

- Hensel–Landberg (1902)
- Coates (1970)
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Ordinary/nodal curves: Las Vegas algorithm computing $L(D)$ in sub-quadratic time

Non-ordinary curves: ⚠ no explicit complexity exponent



Brill–Noether method

Notations:

- $(H) = \sum_{P \in \mathcal{C}} \text{ord}_P(H)P$ – divisor of the zeros of H with multiplicity
- $D \geq D' \rightsquigarrow D - D' = \sum n_P P$ with $n_P \geq 0 \forall P$ ($D - D'$ is *effective*)
We can always write $D = D_+ - D_-$ with D_+ and D_- two effective divisors.

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Description of $L(D)$ for $\mathcal{C} : F(X, Y, Z) = 0$ a plane projective curve.

The non-zero elements are of the form $\frac{G_i}{H}$ where

- H satisfies $(H) \geq D_+$
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- H satisfies $(H) \geq \mathcal{A}$ (we say that “ H is adjoint to the curve”)
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How do we represent divisors?

series expansions of multi-set $((P_i)_i, n_i) \rightarrow$ operations on divisors with negligible cost

Sketch of the algorithm

Input

$C : F(X, Y, Z) = 0$ a plane curve of degree δ , D a smooth divisor.

Step 1 : Compute the adjoint divisor \mathcal{A}

Step 2 : Compute the common denominator H

Step 3 : Compute $(H) - D$

Step 4 : Compute the numerators G_i (similar to Step 2)

Output

A basis of the Riemann–Roch space $L(D)$ in terms of H and the G_i .

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BRACE YOURSELF



MATH IS COMING

Warm up: adjoint divisor in the ordinary case

Definition

Let \mathcal{C} be defined over a field \mathbb{K} , and let $P \in \text{Sing}(\mathcal{C})$. The *local adjoint divisor* is

$$\mathcal{A}_P = - \sum_{\mathcal{P}|P} \text{val}_{\mathcal{P}} \left(\frac{dx}{F_y(x, y, 1)} \right) \mathcal{P}.$$

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Let $P \in \text{Sing}(\mathcal{C})$ **ordinary** of multiplicity m , wlog $P = (0 : 0 : 1)$. Then F locally factorises as

$$F(x, y, 1) = u(x, y) \prod_{i=1}^m (y - \varphi_i(x))$$

with $u \in \overline{\mathbb{K}}[[x, y]]$ invertible, $\varphi_i(x) \in x\overline{\mathbb{K}}[[x]]$ and $\varphi_i'(0) \neq \varphi_j'(0)$.

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The *local adjoint divisor* becomes $\mathcal{A}_P = (m - 1) \sum_{i=1}^m \mathcal{P}_i$.

Example

$$\mathcal{C} : y^2 - x^3 = 0 \text{ in the chart } z = 1$$

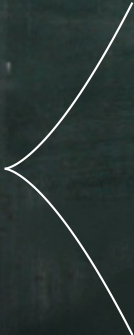


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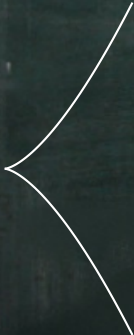


$\mathcal{C} : y^2 - x^3 = 0$ in the chart $z = 1$

$(0, 0)$ non-ordinary singular point of multiplicity 2

“Factorisation”: $(y - x^{3/2})(y + x^{3/2}) = 0$

Example



$\mathcal{C} : y^2 - x^3 = 0$ in the chart $z = 1$

$(0, 0)$ non-ordinary singular point of multiplicity 2

“Factorisation”: $(y - x^{3/2})(y + x^{3/2}) = 0$

We use Puiseux series!

Adjoint condition via Puiseux series

Informally: Puiseux series are Laurent series that admit fractional exponents.

$F \in \mathbb{K}((x))[y]$ has $\deg F = d$ distinct roots in its field of Puiseux series and writes as

$$F = \prod_{i=1}^d (y - \varphi_i) = \prod_{i=1}^d \left(y - \sum_{j=n}^{\infty} \beta_{i,j} x^{j/e_i} \right).$$

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We fix φ of degree e , ζ a primitive e -th root of unity. For $0 \leq k < e$ we can construct other e Puiseux series by replacing $x^{1/e}$ with $\zeta^k x^{1/e}$.

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Definition

A *Rational Puiseux Expansion (RPE)* is a pair $(X(t), Y(t)) = \left(\gamma t^e, \sum_{j=0}^{\infty} \beta_j t^j \right)$ such that $F(X(t), Y(t)) = 0$.

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Rational Puiseux
Expansion of $F(x, y, 1)$

\longleftrightarrow places of $\overline{\mathbb{K}(\mathcal{C})}$ in the chart
 $z = 1$

The adjoint divisor

Let $P \in \text{Sing}(\mathcal{C})$ ~~ordinary~~, w.l.o.g. $P = (0 : 0 : 1)$. Then F locally factorises as

$$F(x, y, 1) = u(x, y) \prod_{i=1}^m (y - \varphi_i(x)),$$

with $u \in \mathbb{K}[[x, y]]$ invertible and φ_i Puiseux series of $F \in \overline{\mathbb{K}}[[x]][y]$.

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$$\{\varphi_1, \dots, \varphi_m\} \rightsquigarrow \begin{array}{l} \text{RPEs/places } (X_i(t), Y_i(t)) \\ i \in \{1, \dots, s\}, s \leq m. \end{array}$$

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In practice: algorithm for computing Puiseux series⁵ \rightsquigarrow **A computed** with $\tilde{O}(\delta^3)$ operations.

⁵A. Poteaux and M. Weimann, Annales Henri Lebesgue, 2021

Example

$\mathcal{C} : y^2 - x^3 = 0$ in the chart $z = 1$

$(0, 0)$ unique singular point, non-ordinary

Puiseux series: $(y - x^{3/2})(y + x^{3/2}) = 0$



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$$(H) \geq \mathcal{A} \iff \text{val}_t H(t^2, t^3) \geq 2$$

Sketch of the algorithm

Input

$\mathcal{C} : F(X, Y, Z) = 0$ a plane curve of degree δ , D a smooth divisor .

- Step 1 :** Compute the adjoint divisor $\mathcal{A} \checkmark \leftarrow \tilde{\mathcal{O}}(\delta^3)$
- Step 2 :** Compute the common denominator H
- Step 3 :** Compute $(H) - D \leftarrow \tilde{\mathcal{O}}((\delta^2 + \deg D_+)^2)$
- Step 4 :** Compute the numerators G_i (similar to Step 2)

Output

A basis of the Riemann–Roch space $L(D)$ in terms of H and the G_i .

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Find a denominator in practice: classical linear algebra

Let $d := \deg H$.

Condition $(H) \geq \mathcal{A} + D_+$

\rightsquigarrow linear system with $\deg \mathcal{A} + \deg D_+ \sim \delta^2 + \deg D_+$ equations,

\rightsquigarrow we retrieve H by Gauss elimination that costs

$\tilde{O}((d\delta + \delta^2 + \deg D)^\omega)$ operations⁶ in \mathbb{K} .

⁶ $2 \leq \omega \leq 3$ is a feasible exponent for linear algebra ($\omega = 2.373$)

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How big is d ?

We showed that $d = \left\lceil \frac{(\delta-1)(\delta-2) + \deg D_+}{\delta} \right\rceil$ is enough

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Second method: structured linear algebra

Condition $(H) \geq \mathcal{A}$

$$\rightsquigarrow \text{val}_t(H(X(t), Y(t), 1)) \geq -\text{val}_t\left(\frac{et^{e-1}}{F_y(X(t), Y(t), 1)}\right)$$

(similar equations for the condition $(H) \geq D_+$)

The space of polynomials $H(x, y, 1)$ that satisfy these conditions is a $\mathbb{K}[x]$ -module

\rightsquigarrow computing a basis⁷ costs $\tilde{O}((\delta^2 + \deg D)^\omega)$ operations in \mathbb{K} .

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Same complexity exponent but with some

Advantages:

- better complexity exponent over algebraically closed fields: $\tilde{O}((\delta^2 + \deg D)^{\frac{\omega+1}{2}})$,
- potential improvement in the future.

⁷C.-P. Jeannerod, V. Neiger, É. Schost and G. Villard, J. Symbolic Comput. 2017

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Theorem (Abelard, B–, Couvreur, Lecerf – Journal of Complexity 2022)

The previous algorithm computes $L(D)$ with $\tilde{O}((\delta^2 + \deg D_+)^{\omega})$ operations in \mathbb{K} .

What to take away?

- 0. Implementation of AG codes \rightsquigarrow need to compute large Riemann–Roch spaces
 $L(D)$
- 1. Brill–Noether method \rightsquigarrow necessary and sufficient conditions on G and H
such that $G/H \in L(D)$
- 2. Puiseux series \rightsquigarrow management of *non-ordinary* singular points of
the curve
- 3. Linear Algebra \rightsquigarrow Computing H and G in practice

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Main result

We can compute Riemann–Roch spaces of any plane curve with a good complexity exponent.



Future questions

- Computing Riemann–Roch spaces of non–ordinary curves in positive “small” characteristic (in progress).

Main obstacle: find an alternative tool to Puiseux series to handle the adjoint condition.



Future questions

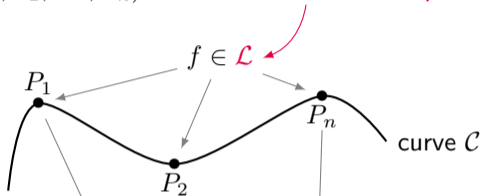
- Computing Riemann–Roch spaces of non–ordinary curves in positive “small” characteristic (in progress).
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- Improving the complexity exponent in the non–ordinary case. (Sub–quadratic as in the ordinary case?)
Main obstacle: linear algebra.



AG codes: from curves to surfaces

$$\mathcal{P} = (P_1, P_2, \dots, P_n)$$

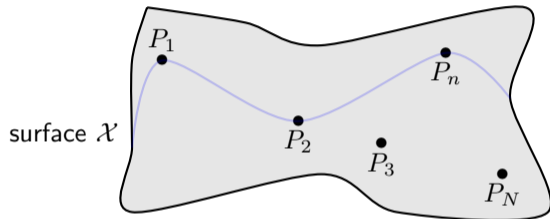
Riemann–Roch space of the curve



$$C_{\mathcal{C}}(\mathcal{L}, \mathcal{P}) \stackrel{\text{def}}{=} \{(f(P_1), f(P_2), \dots, f(P_n)) \mid f \in \mathcal{L}\}$$

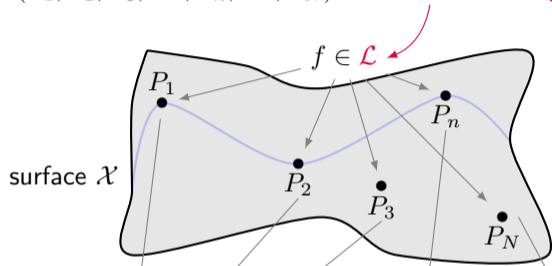
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AG codes: from curves to surfaces

$\mathcal{P} = (P_1, P_2, P_3, \dots, P_n, \dots, P_N)$ Riemann–Roch space of the **surface**



$$C_{\mathcal{X}}(\mathcal{L}, \mathcal{P}) \stackrel{\text{def}}{=} \{(f(P_1), f(P_2), f(P_3), \dots, f(P_n), \dots, f(P_N)) \mid f \in \mathcal{L}\}$$

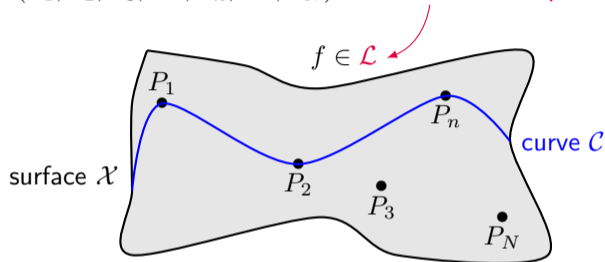
Codes on a **surface** \mathcal{X}

✓ **Length:** $N \sim q^2$

?? **Parameters & decoding**
(✓ very particular cases)

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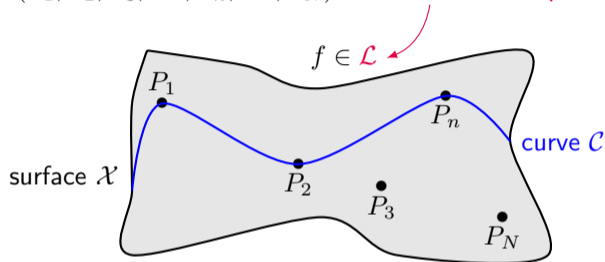
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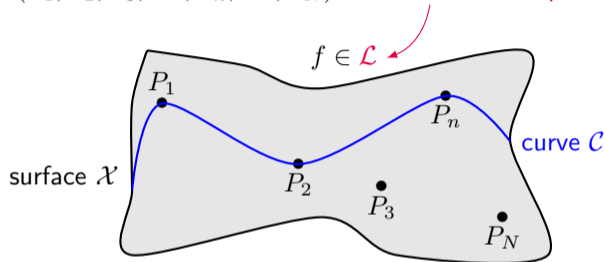
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(e.g. local decoding, local recoverability)

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(e.g. local decoding, local recoverability)

- Can we develop a “Brill–Noether” theory for computing Riemann–Roch spaces of surfaces?

Thank you for your attention!

Questions?

e.berardini@tue.nl